

# A Predictive Power Control for Wind Energy

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**Abstract**—The doubly fed induction generator (DFIG) is widely used in wind energy. This paper proposes a model-based predictive controller for a power control of DFIG. The control law is derived by optimization of an objective function that considers the control effort and the difference between the predicted outputs (active and reactive power) and the references. The prediction was calculated using a linearized state-space model of DFIG. As the generator leakage inductance and resistance information were required for this control method, the influence of the estimation errors for these parameters was also investigated. Simulation results are presented to validate the proposed controller.

**Index Terms**—Doubly fed induction generator (DFIG), model-based predictive control (MBPC), power control, wind energy.

## I. INTRODUCTION

RENEWABLE energy systems and especially wind energy have attracted interest as a result of the increasing concern about CO<sub>2</sub> emissions. Wind energy systems using a doubly fed induction generator (DFIG) have some advantages due to variable speed operation and four quadrant active and reactive power capabilities compared with fixed speed squirrel cage induction generators [1], [2].

The stator of DFIG is directly connected to the grid and the rotor is connected to the grid by a bidirectional converter, as shown in Fig. 1. The converter connected to the rotor controls active and the reactive power between the stator of the DFIG and ac supply or a standalone grid [3].

The control wind turbine system is traditionally based on either stator-flux-oriented [4] or stator-voltage-oriented [5], [6] vector control. The scheme decouples the rotor current into active and reactive power components. The control of the active and reactive power are achieved with a rotor current controller. Some investigations using PI controllers and stator-flux-oriented vector control have been presented by [7] and [8]. The problem in the use of PI controller is the tuning of the gains and the cross-coupling on DFIG terms in the whole operating range. Interesting methods to solve these problems have been presented by [9]–[11].

Some investigations using a predictive functional controller [12] and internal mode controller [13], [14] have satisfactory performance when compared with the response of PI, but it is difficult to implement one due to the formulation of a predictive functional controller and the internal mode controller. Another possibility to DFIG power control can be realized by using fuzzy logic [15]. These strategies have satisfactory power response,

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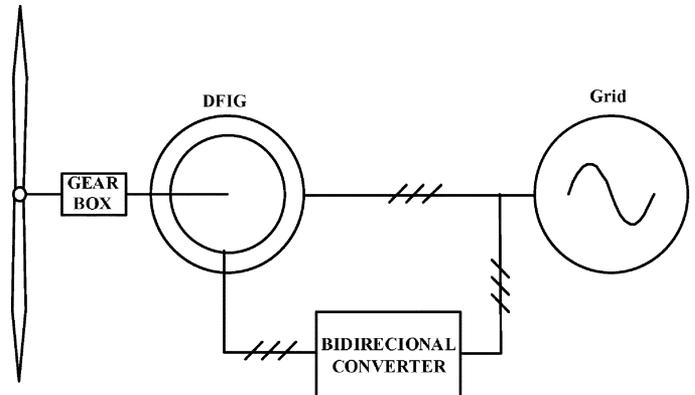


Fig. 1. Configuration of the DFIG connected directly to the grid.

although the errors in parameters estimation can degrade the system response.

Direct power control (DPC) was based on the principles of direct torque control [16], [17]. The DPC applied to the DFIG power control has been presented in [18]–[20]. This strategy calculates the rotor voltage space vector based on stator flux estimated and power errors. In [18], the principles and the implementation of DPC are obtained with hysteresis controllers and variable switching frequency. In [19] and [20], the principles of this method are described in detail and simulations results have been presented using variable and constant switching frequency, respectively. Moreover, the conventional DPC complicates the AC filter design because of its variable switching frequency. An alternative to DPC is power error vector control [21]. This strategy is less complex and obtains results similar to those of direct control of power.

An anti-jamming control has been proposed by [22] to improve the controller performance. This control has a satisfactory performance, however, power and rotor currents results were shown only in fixed speed operation and the power control using a rotor currents loop has current overshoot as a disadvantage.

The predictive control is an alternative control technique that was applied in machine drives and inverters [23], [24]. Some investigations like long-range predictive control [25], general predictive control [23], and model predictive control [26]–[28] were applied to the induction motor drives. The predictive functional control was applied to the DFIG power control by using a rotor current loop in [12] and a predictive DPC for DFIG was presented in [29]. These strategies have a satisfactory power response although the control does not predict the outputs (active and reactive power) and the power response can be degraded.

This paper proposes a model-based predictive controller for power control of DFIG. The control law is derived by optimization of an objective function that considers the control effort and the difference between the predicted outputs (active and

reactive power) and the references. The prediction was calculated using a linearized state-space model of DFIG. As the generator leakage inductance and resistance information were required for this control method, the influence of the estimation errors for these parameters was also investigated. The contribution is in applying this control technique for controlling powers of DFIG. Simulation results are presented to validate the proposed controller.

## II. MACHINE MODEL AND ROTOR CURRENT VECTOR CONTROL

The DFIG model in the synchronous  $dq$  reference frame is given by [30]

$$\vec{v}_{1dq} = R_1 \vec{i}_{1dq} + \frac{d\vec{\lambda}_{1dq}}{dt} + j\omega_1 \vec{\lambda}_{1dq} \quad (1)$$

$$\vec{v}_{2dq} = R_2 \vec{i}_{2dq} + \frac{d\vec{\lambda}_{2dq}}{dt} + j(\omega_1 - \text{NP}\omega_{\text{mec}}) \vec{\lambda}_{2dq} \quad (2)$$

where the relationship between fluxes and currents is

$$\vec{\lambda}_{1dq} = L_1 \vec{i}_{1dq} + L_M \vec{i}_{2dq} \quad (3)$$

$$\vec{\lambda}_{2dq} = L_M \vec{i}_{1dq} + L_2 \vec{i}_{2dq} \quad (4)$$

and generator active and reactive power are

$$P = \frac{3}{2} (v_{1d} i_{1d} + v_{1q} i_{1q}) \quad (5)$$

$$Q = \frac{3}{2} (v_{1q} i_{1d} - v_{1d} i_{1q}). \quad (6)$$

The subscripts 1 and 2 represent the stator and rotor parameters respectively,  $\omega_1$  represents the synchronous speed,  $\omega_{\text{mec}}$  represents machine speed,  $R_1$  and  $R_2$  represent stator and the rotor windings per phase electrical resistance,  $L_1$ ,  $L_2$ , and  $L_M$  represent the proper and the mutual inductances of the stator and rotor windings,  $\vec{v}$  represents voltage vector, and NP represents the machine number of pair of poles.

The DFIG power control aims independent stator active  $P$  and reactive  $Q$  power control by means a rotor current regulation. For this purpose,  $P$  and  $Q$  are represented as functions of each individual rotor current. We use stator flux oriented control, that decouples the  $dq$  axis, which means:  $\lambda_{1d} = \lambda_1 = |\vec{\lambda}_{1dq}|$ . Thus, (3) becomes

$$i_{1d} = \frac{\lambda_1}{L_1} - \frac{L_M}{L_1} i_{2d} \quad (7)$$

$$i_{1q} = -\frac{L_M}{L_1} i_{2q}. \quad (8)$$

Similarly, using stator flux oriented the stator voltage becomes  $v_{1d} = 0$  and  $v_{1q} = v_1 = |\vec{v}_{1dq}|$ . Hence, the active (5) and reactive (6) power can be calculated by using (7) and (8)

$$P = -\frac{3}{2} v_1 \frac{L_M}{L_1} i_{2q} \quad (9)$$

$$Q = \frac{3}{2} v_1 \left( \frac{\lambda_1}{L_1} - \frac{L_M}{L_1} i_{2d} \right). \quad (10)$$

Thus, rotor currents will reflect on stator current and on stator active and reactive power, respectively. Consequently, this principle can be used on stator active and reactive power control of the DFIG.

### A. Rotor Side Equations

The rotor currents control, using (9) and (10), allows the DFIG power control. The rotor voltage (2), in the synchronous referential frame using the stator flux position, and by using (7) and (8), becomes

$$\vec{v}_{2dq} = (R_2 + j\sigma L_2 \omega_{sl}) \vec{i}_{2dq} + \sigma L_2 \frac{d\vec{i}_{2dq}}{dt} + j \frac{L_M}{L_1} \omega_{sl} \lambda_1 \quad (11)$$

where  $\omega_{sl} = \omega_1 - \text{NP}\omega_{\text{mec}}$  and  $\sigma = 1 - L_M^2/L_1 L_2$ .

In space state form, (11) becomes

$$\dot{\vec{x}} = A\vec{x} + B\vec{u} + G\vec{w} \quad (12)$$

$$\vec{y} = C\vec{x}$$

$$\begin{bmatrix} \frac{di_{2d}}{dt} \\ \frac{di_{2q}}{dt} \end{bmatrix} = \begin{bmatrix} \frac{-R_2}{\sigma L_2} & \omega_{sl} \\ -\omega_{sl} & \frac{-R_2}{\sigma L_2} \end{bmatrix} \begin{bmatrix} i_{2d} \\ i_{2q} \end{bmatrix} + \begin{bmatrix} \frac{1}{\sigma L_2} & 0 \\ 0 & \frac{1}{\sigma L_2} \end{bmatrix} \begin{bmatrix} v_{2d} \\ v_{2q} \end{bmatrix} + \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ \frac{-\omega_{sl} L_M}{\sigma L_1 L_2} \lambda_1 \end{bmatrix} \quad (13)$$

where  $C = I$  and  $I$  the identity matrix. Thus,  $C$  also represents the identity matrix.

From now on, it will be assumed that the mechanical time constant is much greater than the electrical time constants. Thus,  $\omega_{\text{mec}} = \text{constant}$  is a valid approximation for a sample time [31]–[33]. Since the synchronous speed  $\omega_1$  is fixed by the grid and  $\omega_{sl} = \omega_1 - \text{NP}\omega_{\text{mec}}$ , the  $\omega_{sl} = \text{constant}$  is also a valid approximation for a sample time.

Equation (13) can be discretized considering  $T$  as the sampling period and  $k$  as the sampling time by using zero-order-hold (ZOH) [32], [34] with no delay as

$$\begin{aligned} \vec{x}(k+1) &= A_d \vec{x}(k) + B_d \vec{u}(k) + G_d \vec{w}_d(k) \\ \vec{y}(k+1) &= C_d \vec{x}(k+1) \end{aligned} \quad (14)$$

where

$$\begin{aligned} A_d &= e^{AT} \cong I + AT \\ B_d &= \int_0^T e^{A\tau} B d\tau \cong BT \\ G_d &= \int_0^T e^{A\tau} G d\tau \cong GT \\ C_d &= C. \end{aligned} \quad (15)$$

Equation (13) can be discretized due to the rotor applied voltage is constant during a control period of the PWM voltage source inverter. Thus, the (13) discretized using (14) is given by

$$\begin{aligned} \begin{bmatrix} i_{2d}(k+1) \\ i_{2q}(k+1) \end{bmatrix} &= \begin{bmatrix} 1 - \frac{R_2 T}{\sigma L_2} & \omega_{sl} T \\ -\omega_{sl} T & 1 - \frac{R_2 T}{\sigma L_2} \end{bmatrix} \begin{bmatrix} i_{2d}(k) \\ i_{2q}(k) \end{bmatrix} + \\ &+ \begin{bmatrix} \frac{T}{\sigma L_2} & 0 \\ 0 & \frac{T}{\sigma L_2} \end{bmatrix} \begin{bmatrix} v_{2d}(k) \\ v_{2q}(k) \end{bmatrix} + \\ &+ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ \frac{-\omega_{sl} L_M T}{\sigma L_1 L_2} \lambda_1(k) \end{bmatrix}. \end{aligned} \quad (16)$$



rectifier as presented in [37], [38]. The converter is designed to send or receive energy from the grid according to the operating speed of the generator. In the super-synchronous speed operation ( $\omega_{mec} > \omega_1$ ) the converter send energy to the grid and in the subsynchronous speed operation ( $\omega_{mec} < \omega_1$ ) the converter receive energy from the grid.

For the active power control, the rotor current reference using (9) is given by

$$i_{2qref} = -\frac{2P_{ref}L_1}{3v_1L_M}. \quad (25)$$

The reactive power control by using (10) the rotor current reference is

$$i_{2dref} = -\frac{2Q_{ref}L_1}{3v_1L_M} + \frac{\lambda_1}{L_M}. \quad (26)$$

Using (24), the MBPC algorithm, the rotor voltages that allow the active and reactive power convergence to their respective reference values are generated. The desired rotor voltage in the rotor  $\alpha\beta r$  reference frame generates switching signals for the rotor side using either space vector modulation that is given by  $\vec{v}_{2\alpha\beta r} = \vec{v}_{2dq} e^{\delta_s - \delta_r}$ .

Stator currents and voltages, rotor speed and currents are measured to stator flux position  $\delta_s$  and magnitude  $\lambda_1$ , synchronous frequency  $\omega_1$  and slip frequency  $\omega_{sl}$  estimation.

#### A. Estimation

For the predictive control, it is necessary to calculate the active and reactive power, the stator flux magnitude and position, and the slip speed and synchronous frequency. The flux estimation using (1) is given by

$$\vec{\lambda}_{1\alpha\beta} = \int \left( \vec{v}_{1\alpha\beta} - R_1 \vec{i}_{1\alpha\beta} \right) dt \quad (27)$$

and the flux position by using (27) as

$$\delta_s = \arctan \left( \frac{\lambda_{1\beta}}{\lambda_{1\alpha}} \right). \quad (28)$$

The synchronous speed  $\omega_1$  estimation is given by

$$\omega_1 = \frac{d\delta_s}{dt} = \frac{(v_{1\beta} - R_1 i_{1\beta}) \lambda_{1\alpha} - (v_{1\alpha} - R_1 i_{1\alpha}) \lambda_{1\beta}}{(\lambda_{1\alpha})^2 + (\lambda_{1\beta})^2} \quad (29)$$

and the slip speed estimation by using the rotor speed and synchronous speed is

$$\omega_{sl} = \omega_1 - NP\omega_{mec}. \quad (30)$$

The angle in rotor reference frame is given by

$$\delta_s - \delta_r = \int \omega_{sl} dt. \quad (31)$$

#### IV. IMPACT OF PARAMETER VARIATIONS ON SYSTEM PERFORMANCE

The analysis of the impact of parameter variations was made by using (16), (24), (25), and (26), which allows rotor voltage calculation. The stator resistance used in stator flux estimation

and the rotor resistance used in rotor voltage calculation have negligible impact on the system performance for high-power generators [5], [20]. The accuracy of the rotor voltage calculation is influenced by the constant  $\sigma L_2$  and the inductance ratio  $L_1/L_M$  that are determined by the stator and rotor leakage and magnetization inductance. Since the leakage flux magnetic path is mainly air, the variation of the leakage inductance during operation is insignificant. However, magnetization inductance variation needs to be considered due to possible variation of the magnetic permeability of the stator and rotor cores under different operating conditions. The required parameters can be simplified considering the relatively small leakage inductance  $L_{l1}$  and  $L_{l2}$  compared to the magnetization inductance  $L_m$  which is shown in Appendix and given by

$$\sigma L_2 \cong (L_{l1} + L_{l2}), \quad \frac{L_1}{L_M} = \frac{L_M + L_{l1}}{L_M} \cong 1. \quad (32)$$

Equation (32) shows that the variations of  $L_M$  has little impact in  $\sigma L_2$  and  $L_1/L_M$ , and therefore, its influence on the performance of the proposed control strategy would also be insignificant.

#### V. SIMULATION RESULTS

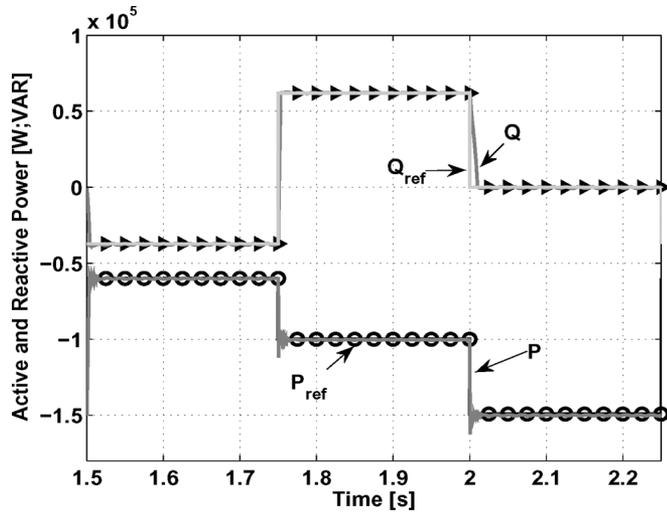
The simulation of proposed control strategy used the MATLAB/SimPowerSystems package. The power control strategy has a  $T = 0.3 \cdot 10^{-4}s$  and the sampling time of the voltage source inverter is  $T = 0.5 \cdot 10^{-4}s$ . The DFIG parameters are shown in the Appendix. Fig. 2 shows the scheme of the implemented system. To the power factor (PF) control, the reactive power reference is given by

$$Q_{ref} = P_{ref} \frac{\sqrt{1 - PF^2}}{PF}.$$

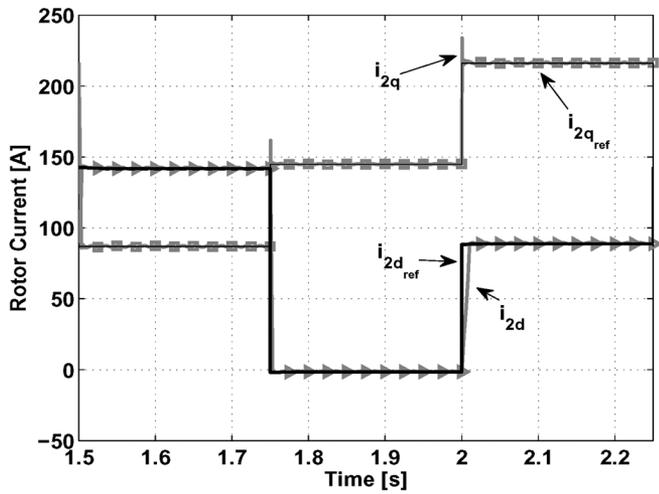
In the predictive controller, if  $n_y$  is increased, the output dynamic is slower. The elements of the matrices  $W_u$  and  $W_y$  weights should be adjusted carefully so that they meet the requirements desired by the designer. It is known that the matrix  $W_u$  is related to the control effort and its elements must be nonzero because it causes high overshoot. Already matrix  $W_y$  emphasizes each individual prediction of the output that would improve the response time of the plant. From studies conducted by simulation with  $n_u = 1$ , settling time less than 10 ms and overshoot less than 10%, the matrices  $W_u$  and  $W_y$  are given by

$$W_y = \begin{bmatrix} 15 & 0 \\ 0 & 45 \end{bmatrix} \quad \text{and} \quad W_u = \begin{bmatrix} 0.002 & 0 \\ 0 & 0.01 \end{bmatrix}.$$

Initial studies with various active and reactive power steps and constant rotor speed at 226.6 rad/s were carried out to test the dynamic response of the proposed power control strategy, shown in Fig. 3(a). A detailed power response shown in Fig. 4, demonstrates an overshoot less than 10% and a settling time less than 5 ms. The initial active power and the power factor references were  $-60$  kW and  $PF = +0.85$ . The active power and the power factor references were step changed from  $-60$  to  $-100$  kW and from  $PF$  of  $0.85$  to  $-0.85$  at 1.75 s. The power reference was step changed again from  $-100$  to  $-149.2$  kW and from  $PF$  of  $-0.85$



(a)



(b)

Fig. 3. Response of step tests for active and reactive power and rotor currents in supersynchronous operation. (a) Response of step of active and reactive power. (b) Response of step of rotor currents in synchronous referential.

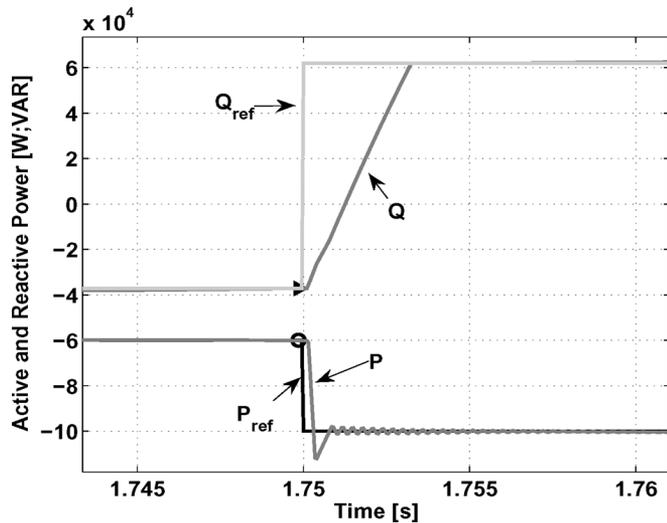
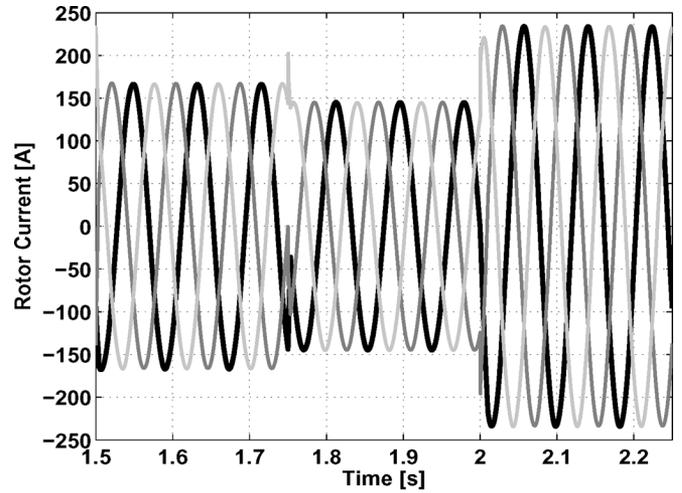
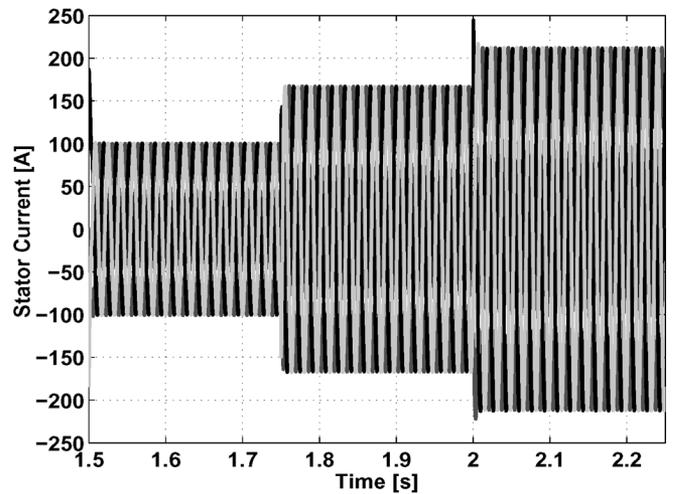


Fig. 4. Detailed power response.

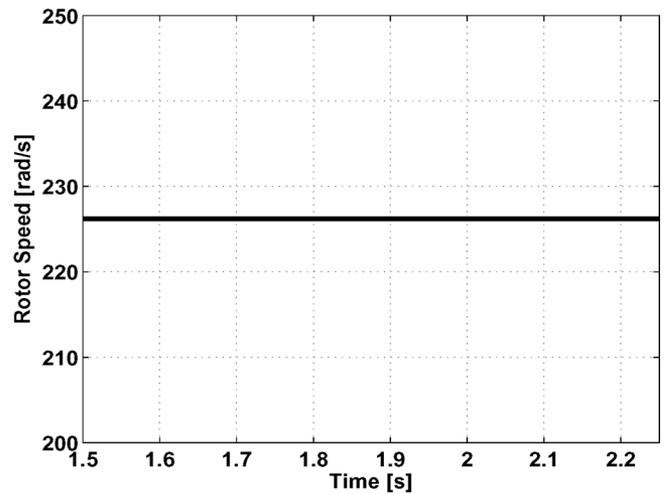
to 1 at 2 s, respectively. The rotor currents in synchronous reference is shown in Fig. 3(b) and the rotor speed, the rotor and



(a)



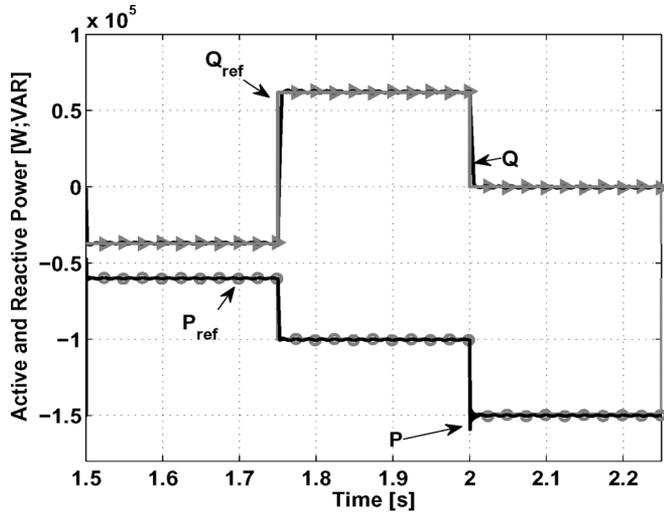
(b)



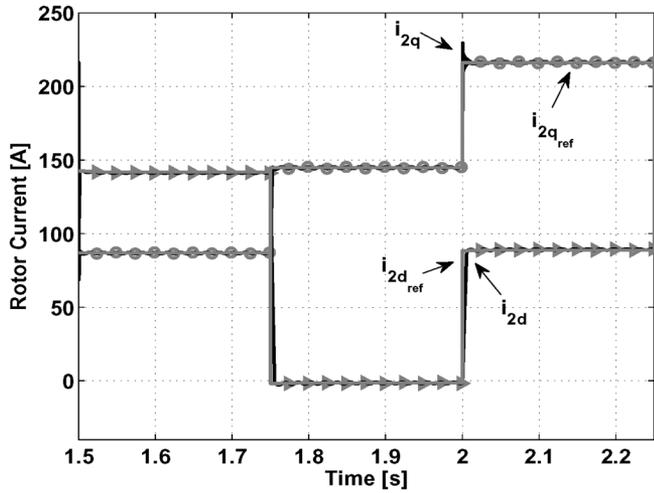
(c)

Fig. 5. (a) Rotor currents. (b) Stator currents. (c) Rotor speed.

stator currents in the stationary reference are shown in Fig. 5. The dynamic response of both active and reactive power is less than 10 ms; there is overshoot less than 10% of either stator/rotor or the active/reactive powers. The satisfactory performance of



(a)



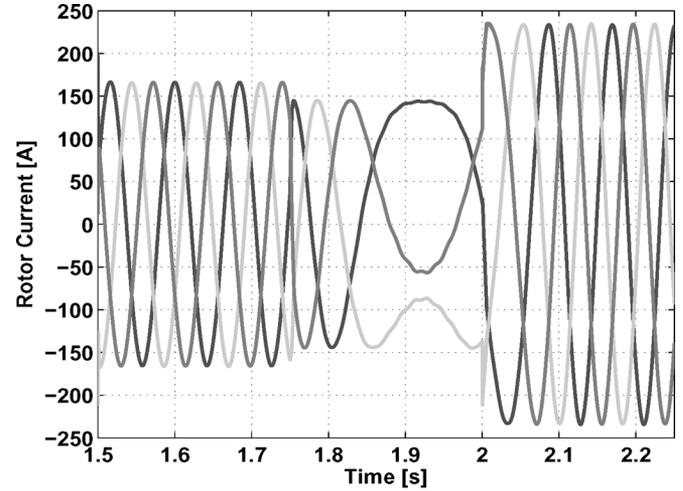
(b)

Fig. 6. Response of step tests for active and reactive power and rotor currents with several speed operation. (a) Response of step of active and reactive power. (b) Response of step of rotor currents in synchronous referential.

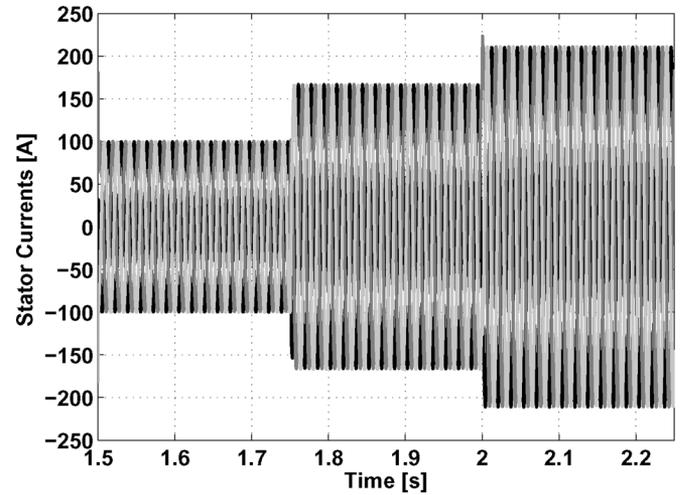
the controller can be seen due to the fact that the controller performance is in accordance with the design parameters.

Several studies using different power steps and rotor speed were carried out to test the proposed power control strategy. During the period 1.75–2.1 s, the rotor speed increased from 151.1 to 226.6 rad/s. Fig. 6(a) shows the results of the step reference tests of active and reactive power. The power steps, i.e., the active power and the power factor references were changed from  $-60$  to  $-100$  kW and the PF of 0.85 to  $-0.85$  at 1.75 s. The rotor currents in synchronous reference are shown in Fig. 6(b) and the rotor speed, the rotor and stator currents at the stationary reference are shown in Fig. 7, and the voltage of the capacitor is presented in Fig. 8. The satisfactory performance of the controller can be verified considering that the active and the reactive power reach the desired reference values when the rotor speed varies.

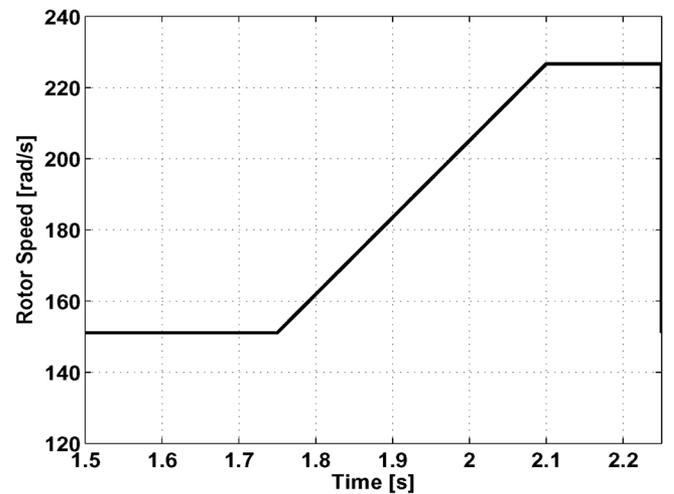
The rotor resistance  $R_2$  and the mutual inductance  $L_M$  are increased by 20% in order to test the impact of the parameter



(a)



(b)



(c)

Fig. 7. Stator and rotor currents and rotor speed with several speed operation. (a) Rotor currents. (b) Stator currents. (c) Rotor speed.

variations on the system performance. The same tests of step reference of active and reactive powers with rotor speed variation and with parameter variation are shown in Figs. 9 and 10.

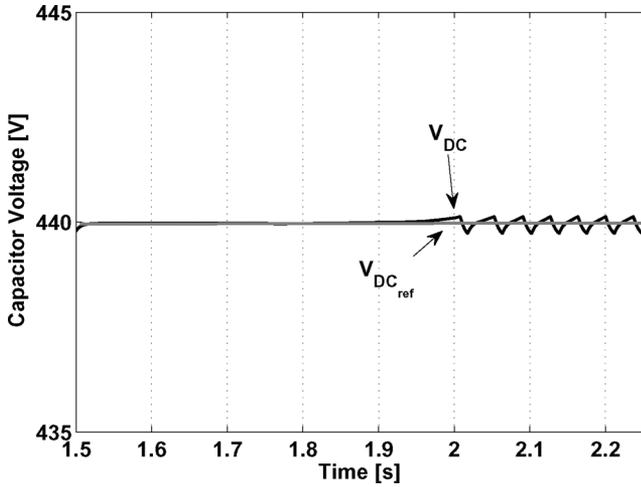
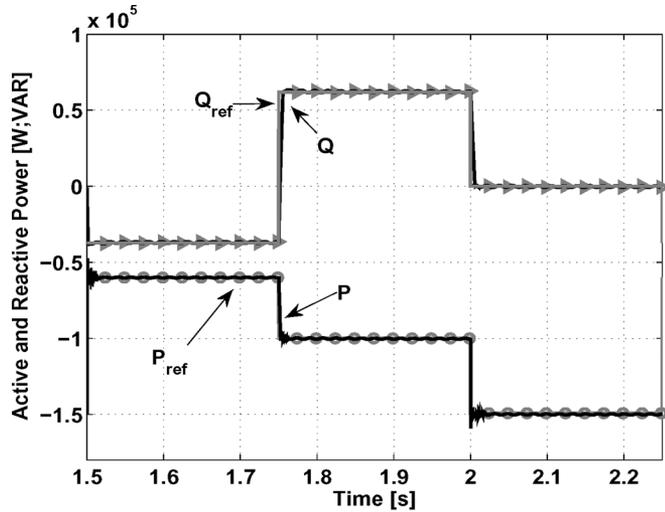
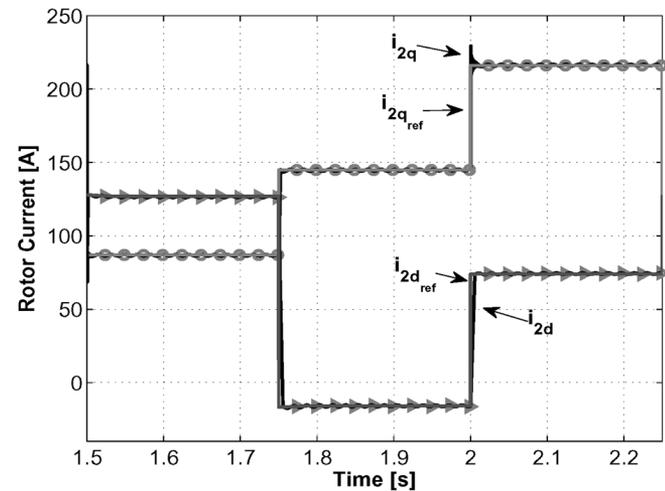


Fig. 8. DC link voltage.



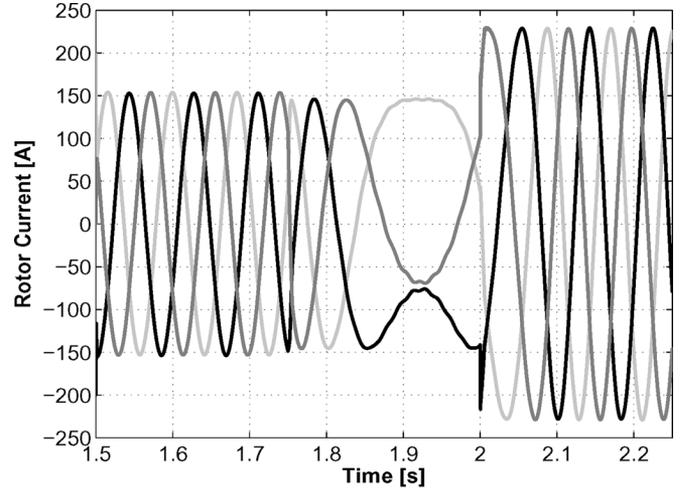
(a)



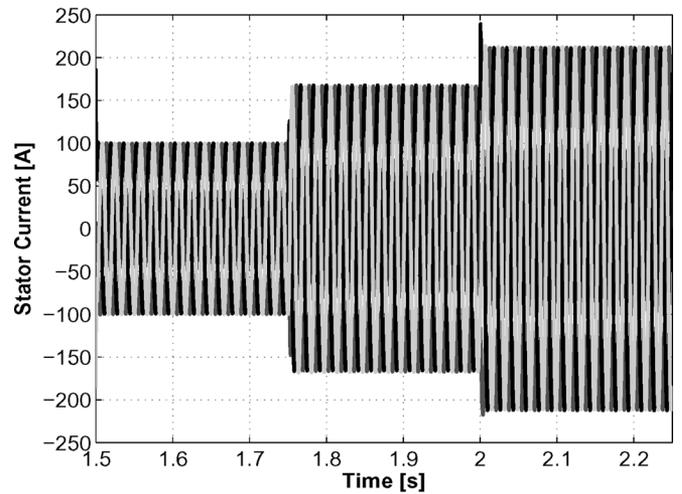
(b)

Fig. 9. Response of step tests for active and reactive power and rotor currents with several speed operation and parameters variations. (a) Response of step of active and reactive power. (b) Response of step of rotor currents in synchronous referential.

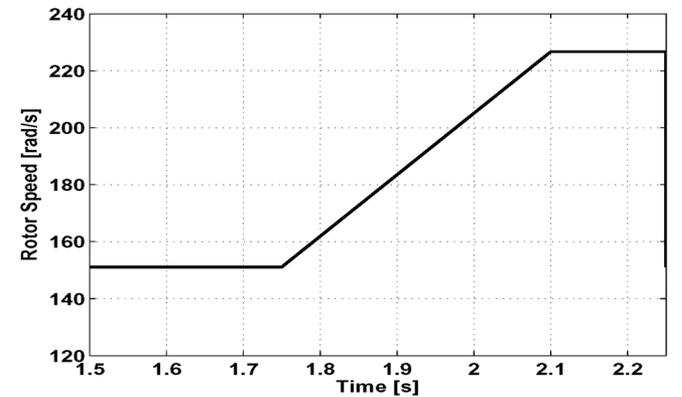
Comparing Figs. 6 and 9 and Figs. 7 and 10, there can hardly be noticed any difference, and even with large inductance and rotor



(a)



(b)



(c)

Fig. 10. Stator and rotor currents and rotor speed with several speed operation and parameters variations. (a) Rotor currents. (b) Stator currents. (c) Rotor speed.

resistance errors, the system maintains satisfactory performance and robustness under both steady-state and transient conditions.

## VI. CONCLUSION

This paper presented an MBPC applied to the DFIG power control. The control law is derived from optimization of an ob-

jective function that considers the control effort and the difference between the predicted outputs (active and reactive power) and the specific references. The predicted outputs were calculated using a linearized state-space model. This control law allows the calculation of the voltage to be applied on the rotor by using the system behavior for more than one single future sampling cycle. This strategy applies a constant switching frequency that overcomes the drawbacks of conventional DPC [18], [19].

The impact of machine parameter variations is analyzed and can be neglected. The simulations confirm the effectiveness and the robustness of the power controller during several operating conditions and variations of machine parameters. Thus, this power control strategy is an interesting tool for DFIG implementation.

#### APPENDIX

##### Effect of Parameters in the Rotor Voltage Calculation:

Considering that  $L_{l1} \ll L_M$  and  $L_{l2} \ll L_M$ , the  $\sigma L_2$  and  $L_1/L_M$  can be simplified as

$$\begin{aligned}\sigma L_2 &= L_2 - \frac{L_M^2}{L_1} = \frac{L_1 L_2 - L_M^2}{L_1} = \\ &= \frac{(L_{l1} L_{l2}) + L_M(L_{l1} + L_{l2}) + L_M^2 - L_M^2}{L_{l1} + L_M} \cong \\ &\cong \frac{L_M(L_{l1} + L_{l2})}{L_M} = (L_{l1} + L_{l2})\end{aligned}$$

and

$$\frac{L_1}{L_M} = \frac{L_M + L_{l1}}{L_M} = 1 + \frac{L_{l1}}{L_M}.$$

**DFIG Parameters:**  $R_1 = 0.02475 \Omega$ ;  $R_2 = 0.0133 \Omega$ ;  $L_m = 0.01425 H$ ;  $L_{l1} = 0.000284 H$ ;  $L_{l2} = 0.000284 H$ ;  $J = 2.6 \text{ Kg} \cdot \text{m}^2$ ;  $NP = 2$ ;  $PN = 149.2 \text{ kVA}$ ;  $V_N = 575 \text{ V}$ .

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